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**$Z_2$  Equivariant Cohomology and its Applications to  
Orthogonal geodesic chords**

by

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## $Z_2$ Equivariant Cohomology and Its Application

### to Orthogonal Geodesic Chords

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#### ABSTRACT

A geodesic joining two points of the boundary of a Riemannian manifold is called an *orthogonal geodesic chord* if it intersects with the boundary orthogonally.

Bos' theorem [B] asserts any convex  $n$ -disk has  $n$  orthogonal geodesic chords. In this case, the involution  $\gamma \rightarrow \gamma^{-1} \equiv \gamma(1 - \cdot)$  can be considered and equivariant (co)homology of a path space plays essential role. This note gives  $n$  such chords for compact contractible manifold instead of the  $n$ -disk.

These chords are expected to give periodic solutions on energy surfaces of Hamiltonians of the type " *kinetic energy* " + " *potential* ".

**§1. Introduction.**

On any compact energy surface of a Hamiltonian system, we can always find a periodic solution if the Hamiltonian  $H$  is a physical one, that is  $H = T + U =$  "kinetic energy" + "potential" [H2] [GZ].

Solutions of the Hamiltonian system of given energy  $e$  correspond to geodesics with respect to the Jacobi metric

$$ds^2 = (e - U) T.$$

And orthogonal geodesic chords of a compact manifold with boundary, which is diffeomorphic to  $\{U \leq e\}$ , yields the periodic solution of the Hamiltonian system. Here an *orthogonal geodesic chord* means a geodesic starting from and ending at a point of the boundary orthogonally.

A partial result has been obtained by Seifert [S] for the case  $\{U \leq e\} \approx D^n$ , the  $n$ -disk. In a footnote of the paper, Seifert conjectured that one may have  $n$  periodic solutions by the method of Lusternik-Schnirelmann [LS]. This problem is still open, although a partial result for the system near the rotationally symmetric one is obtained [H3]. (Remark that this is not the so called "Seifert Conjecture".)

[LS] gives  $n$  orthogonal geodesic chords of any convex body in  $\mathbf{R}^n$  and Bos [B] extends it to convex  $n$  disks.

In this note, we define a number  $\nu(M)$ , where  $M$  is a compact  $C^\infty$  manifold with boundary, and show that there exist at least  $\nu(M)$  orthogonal geodesic chords of  $M$  if the boundary is convex with respect to the Riemannian metric given on  $M$ .

Furthermore we have  $\nu(D^n) = n$  and

$$\nu(M) \geq n$$

if  $M$  is contractible ( $n = \dim M$ ).

For  $n = 1$  and  $2$ , compact contractible manifold with boundary is always a disk. For  $n = 3$ , this question is equivalent to the Poincaré Conjecture. For  $n \geq 4$ , there are examples of compact contractible manifolds with boundary, which are not homeomorphic to a disk [Ma]. In this case, the boundary is a homology sphere with nontrivial fundamental group.

**§2. Numbers determined by compact manifold with boundary.**

Let  $M$  be a compact  $C^\infty$  manifold with boundary

$$B \equiv \partial M \neq \emptyset.$$

We define four numbers  $\nu(M)$ ,  $\nu_\pi(M)$ ,  $\nu_H(M)$ , and  $\nu_\Pi(M)$  as follows.

We put  $Y_M \equiv \Omega(M; B, B) \equiv \{\omega: [0,1] \rightarrow M; \text{continuous and } \omega(0), \omega(1) \in B\}$  endowed with compact open topology and regard  $B$  as a subset of  $Y_M$  by the usual way. For simplicity the coefficient field  $\mathbb{Z}_2$  is understood.

$$(i) \quad \nu_\pi(M) = \begin{cases} 1 & \text{if } \pi_k(Y_M, B) \neq 0 \text{ for some } k \geq 1, \\ 0 & \text{otherwise} \end{cases}$$

$$(ii) \quad \nu_H(M) = \text{Max} \{ k \geq 0; \exists \alpha_1, \alpha_2, \dots, \alpha_{k-1} \in H^*(Y_M) \\ \text{with } \deg \alpha_j > 0, j = 1, \dots, k-1; \exists a \in H_*(Y_M, B) \\ \text{such that } (\alpha_1 \cup \dots \cup \alpha_{k-1}) \cap a \neq 0 \}$$

We remark that

$$(2.1) \quad \nu_H(M) \geq 1 \quad \text{iff } H_*(Y_M, B) \neq 0$$

(iii) Let  $\xi: Y_M \rightarrow Y_M$  be the involution defined by

$$\xi\omega = \omega^{-1} \equiv \omega(1 - \cdot).$$

$$\nu_\Pi(M) = \text{Max} \{ k \geq 0; \exists \alpha_1, \alpha_2, \dots, \alpha_{k-1} \in H_\Pi^*(Y_M), \\ \text{with } \deg \alpha_j > 0, \text{ and } \exists a \in H_\Pi^*(Y_M, B) \\ \text{such that } (\alpha_1 \cup \dots \cup \alpha_{k-1}) \cap a \neq 0 \}$$

$H_\Pi^*$  ( $H_\Pi^*$ ) means  $\mathbb{Z}_2$ -equivariant (co)homology (see [H1] [N]).

Finally we define a nonnegative integer

$$(iv) \quad \nu(M) = \text{Max} \{ \nu_\pi(M), \nu_H(M), \nu_\Pi(M) \}$$

Lemma 2 of [H2] gives

$$(2.2) \quad \nu_\pi(M) \geq 1$$

or

$$(2.3) \quad \nu_H(M) \geq 1 \quad ( \Leftrightarrow H_*(Y_M, B) \neq 0 ),$$

hence we have

$$(2.4) \quad \nu(M) \geq 1.$$

We also have

**Lemma 1.** *Let  $L$  be a closed manifold and  $N$  a compact manifold with boundary. Then, if  $\nu_H(N) \geq 1$ , we have*

$$\nu_H(N \times L) \geq (\text{cup length } L) + \nu_H(N).$$

(proof) Let  $\alpha_1, \dots, \alpha_{k-1} \in H^*(N)$ ,  $\deg \alpha_j > 0$  and  $a \in H_*(N, \partial N)$  with

$$a' = (\alpha_1 \cup \dots \cup \alpha_{k-1}) \cap a \neq 0.$$

And let  $\beta_1, \dots, \beta_l \in H^*(L)$ ,  $\deg \beta_j > 0$ , with  $\beta' = \beta_1 \cup \dots \cup \beta_l \neq 0$ . We choose  $b \in H_*(L)$  so that  $\langle (\beta_1 \cup \dots \cup \beta_l), b \rangle = 1$ , then  $\beta' \cap b \neq 0$ . Now  $a \times b \in H_*((N, \partial N) \times L) = H_*(N \times L, \partial(N \times L))$ .

And we have

$$\begin{aligned} & ((1 \times \beta_1) \cup \dots \cup (1 \times \beta_l) \cup (\alpha_1 \times 1) \cup \dots \cup (\alpha_{k-1} \times 1)) \cap (a \times b) \\ &= (1 \times \beta') \cap (((\alpha_1 \times 1) \cup \dots \cup (\alpha_{k-1} \times 1)) \cap (a \times b)) \\ &= (1 \times \beta') \cap (a' \times b) \\ &= a' \times (\beta' \cap b) \\ &\neq 0 \quad \text{in } H_*(N \times L, \partial(N \times L)). \end{aligned}$$

This proves the lemma.

Q.E.D.

### §3. Orthogonal Geodesic Chords

First we have

**Theorem 1.** *Let  $M$  be a compact Riemannian manifold with convex boundary. Then there exist at least  $v(M)$  orthogonal geodesic chords of  $M$ .*

Before giving the proof, we set a path space and distances on it. Let  $\Lambda$  be the set of all p.w. ( piecewise )  $C^\infty$  curve  $\lambda : [0,1] \rightarrow M$  with  $\lambda(0)$  and  $\lambda(1) \in \partial M$ .

For  $\lambda_1, \lambda_2 \in \Lambda$ , we define

$$d_\infty(\lambda_1, \lambda_2) = \max_{0 \leq t \leq 1} d(\lambda_1(t), \lambda_2(t)),$$

where  $d$  is the distance derived from the Riemannian metric on  $M$ , and

$$d_1(\lambda_1, \lambda_2) = d_\infty(\lambda_1, \lambda_2) + \left( \int_0^1 ( |\dot{\lambda}_1(t)| - |\dot{\lambda}_2(t)| )^2 dt \right)^{1/2}.$$

Let  $E$  be the energy functional  $E : \Lambda \rightarrow [0, \infty)$  defined by

$$E(\lambda) = \frac{1}{2} \int_0^1 |\dot{\lambda}(t)|^2 dt.$$

$E$  is continuous w.r.t.  $d_1$  ( §16 in [Mi] ).

Now we have a sequence of continuous mappings

$$(\Lambda, d_1) \overset{i}{\subset} (\Lambda, d_\infty) \overset{j}{\subset} (Y_M, d_\infty).$$

We remark that these  $i$  and  $j$  both give homotopy equivalences by Theorem 17.1 in [Mi].

For  $K \geq 0$ , we put

$$\Lambda^K = \{ \lambda \in \Lambda ; E(\lambda) \leq K \}.$$

Then Lemma A.1.4 in [K], slightly changed so as to suit for our situation, that is, with convex boundary, gives

**Lemma 2.** *We take the distance  $d_\infty$  on  $\Lambda$ . For given  $K \geq 0$ , there is a deformation ( continuous map )*

$$\varphi : \Lambda^K \times [0, 1] \rightarrow \Lambda^K$$

satisfying

(i)  $\mathcal{O}(\cdot, 0) = i_d : \Lambda^K \rightarrow \Lambda^K$

(ii)  $\mathcal{O}$  is  $E$  - decreasing, that is

$$E(\mathcal{O}(\lambda, t)) \leq E(\mathcal{O}(\lambda, s)) \quad \text{if } t \geq s$$

(iii)  $\mathcal{O}(\lambda, 1) = \lambda$  if and only if  $\lambda$  is an orthogonal geodesic chord ( or constant curve on  $B = \partial M$  ).

(iv) Let  $0 < \kappa < K$  and  $C_\kappa$  be the set of all orthogonal geodesic chords of Energy  $\kappa$ . Then for any open neighborhood  $W$  of  $C_\kappa$  ( one may choose  $W = \emptyset$  in the case  $C_\kappa = \emptyset$  ), there exists  $\rho > 0$  such that

$$\mathcal{O} \Lambda^{\kappa+\rho} \subset W \cup \Lambda^{\kappa-\rho}$$

where  $\mathcal{O} = \mathcal{O}(\cdot, 1) : \Lambda^K \rightarrow \Lambda^K$ .

(v)  $\mathcal{O}(\cdot, t) : \Lambda^K \rightarrow \Lambda^K$  is equivariant for any  $t \in [0, 1]$ .

This lemma means that the convexity of the boundary plays the role of the condition (C) of Palais-Smale.

( Proof of Theorem 1 )

First we prove  $\nu_\pi(M) = 1$  gives at least one non-constant orthogonal geodesic chord.

As usual there is an  $\epsilon > 0$  with

$$\pi_\kappa(\Lambda, \Lambda^\epsilon) \cong \pi_\kappa(\Lambda, \Lambda^0) \cong \pi_\kappa(Y_M, B)$$

( see the remark above ). Let  $a$  be the non-trivial element of  $\pi_\kappa(\Lambda, \Lambda^\epsilon)$ . We put

$$\kappa_a = \inf_{f \in a} \sup E(f(D^k)) .$$

Although  $E$  is not continuous w.r.t.  $d_\infty$ , this is finite because

$$i : (\Lambda, d_1) \subset (\Lambda, d_\infty)$$

is a homotopy equivalence. As usual Lemma 2, in particular (iv), gives that  $\kappa_a$  is a critical value of  $E$ , that is,  $C_{\kappa_a} \neq \emptyset$ , and  $\kappa_a \geq \epsilon$  by the fact that  $a$  is non-trivial in the relative homotopy  $\pi_\kappa(\Lambda, \Lambda^\epsilon)$ .

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The case  $\nu_H(M)$  is also the usual one.

Now consider the equivariant case.

We put  $\Lambda_\Pi = S^\infty \times_\Pi \Lambda$ , the orbit space of  $S^\infty \times \Lambda$  under the involution  $(\zeta, \lambda) \mapsto (-\zeta, \xi\lambda)$ .

Since  $E$  is invariant under  $\xi$ , we can define

$$\tilde{E} : \Lambda_\Pi \rightarrow [0, \infty)$$

by  $\tilde{E}[\zeta, \lambda] = E(\lambda)$ , where  $[\zeta, \lambda]$  is the equivalence class represented by  $(\zeta, \lambda)$ .

Let  $a$  be the nontrivial element of  $H_*^\Pi(\Lambda, \Lambda^\epsilon) = H_*(\Lambda_\Pi, \Lambda_\Pi^\epsilon)$ . We put

$$\kappa_a = \inf_{z \in a} \tilde{E}(|z|),$$

where  $|z| = \bigcup_i \text{Im } \sigma_i$ ,  $z = \sum_i \sigma_i$ ,  $\sigma_i : \Delta_q \rightarrow \Lambda_\Pi$ , singular simplex.

Then, by Lemma 2 in [H3],  $C_{\kappa_a} \neq \emptyset$  and  $\kappa_a \geq \epsilon$ .

Furthermore, assume that there exists  $\alpha \in H^*(\Lambda_\Pi)$  with  $\text{dega} > 0$ , such that  $b = \alpha \cap a \neq 0$  in  $H_*(\Lambda_\Pi, \Lambda_\Pi^\epsilon)$ . Then  $\kappa_b$  is also a critical value with  $\kappa_b \geq \epsilon$ .

In this case, in general,  $\kappa_b \leq \kappa_a$  and if  $\kappa_b = \kappa_a$ , then there are infinitely many critical points on the level (a version of Lemma 2 in [H3]).

Remarking that

$$\alpha_1 \cap (\alpha_2 \cap a) = (\alpha_1 \cup \alpha_2) \cap a,$$

we have at least  $\nu_\Pi(M)$  critical points with  $\geq \epsilon$  (non-constant orthogonal geodesic chords).

Q.E.D.

So (2.4) gives

**Corollary.** *Any compact Riemannian manifold with convex boundary has an orthogonal geodesic chord.*

This is Theorem C of [GZ].

[GZ] also gives another proof for Bos' theorem. There is an example of compact Riemannian manifold with boundary with no orthogonal geodesic chords[B], hence the assumption of convex boundary is necessary.



Since we have

$$(3.1) \quad \nu(D^n) = n$$

(the proof is given later), Theorem 1 also gives Bos' theorem.

Now we have

**Theorem 2.** *Let  $M$  be a compact contractible manifold with convex boundary. Then there exist  $\dim M$  orthogonal geodesic chords of  $M$ .*

In this case we shall show

$$(3.2) \quad \nu_{\Pi}(M) \geq \dim M,$$

giving Theorem 2 using Theorem 1.

To prove Theorem 2, we prepare the following

**Lemma 3.** *Let  $B$  be a closed manifold of dimension  $n$ . Put  $B^2 = B \times B$  and define the involution  $\xi : B^2 \rightarrow B^2$  by*

$$\xi(x, y) = (y, x).$$

*Then there exist  $a \in H_{2n}^{\Pi}(B^2, \Delta)$  and  $\theta \in H^1_{\Pi}(B)$  with  $\theta^n \cap a \neq 0$  in  $H_n^{\Pi}(B^2, \Delta)$ , where  $\Delta$  denotes the diagonal set.*

(Proof) This is Theorem 2 of [H1], which needs the work by Steenrod [N]. Q.E.D.

(Proof of Theorem 2)

We put  $B = \partial M$ . Let

$$\pi : Y_M \rightarrow B^2$$

be the projection defined by

$$\omega \rightarrow (\omega(0), \omega(1)).$$

Since the mapping

$$\pi' : S^{\infty} \times Y_M \rightarrow S^{\infty} \times B^2$$

defined by

$$(\zeta, \omega) \rightarrow (\zeta, \pi(\omega))$$

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is equivariant, we have the mapping

$$\tilde{\pi} : S^{\infty} \times_{\Pi} Y_M \rightarrow S^{\infty} \times_{\Pi} B^2.$$

It is easy to see that  $\tilde{\pi}$  gives a fibre space.

We fix base points  $b \in B$  and  $*$   $\in S^{\infty}$  and take

$$\tilde{*} = [*, (b, b)]$$

as the base point of  $S^{\infty} \times_{\Pi} B^2$ . Then we can identify the fibre  $\tilde{\pi}^{-1}(\tilde{*})$  and  $\Omega M$ . Thus we have a fibration

$$(3.3) \quad \Omega M \rightarrow S^{\infty} \times_{\Pi} Y_M \xrightarrow{\tilde{\pi}} S^{\infty} \times_{\Pi} B^2.$$

Until we obtain the fibration (3.3), we don't use the assumption that  $M$  is contractible.

Now, since  $M \simeq b$ , we have  $\Omega M \simeq b$ . Hence the spectral sequence of the (co)homology of the fibration is a very simple one and we can easily have the conclusion

$$v_{\Pi}(M) \geq \dim B + 1 = \dim M$$

using Lemma 3.

This is (3.2), giving the theorem. Q.E.D.

**§4. Open Problems.**

In this section, we propose some open problems.

Let  $M$  be a compact manifold with boundary.

- (i)  $\nu_H(M) \geq 1$  ?

Although this gives only one orthogonal geodesic chord by Lemma 2 and it is already obtained from (2.2), there is a little meaning because it appears in the assumption of Lemma 1.

- (ii)  $\nu_{\Pi}(M) \geq \nu_H(M)$  ?

For the case  $M = D^n$ , we have

$$(4.1) \quad \nu_{\Pi}(D^n) = n.$$

In fact (3.2) gives  $\nu_{\Pi}(D^n) \geq n$ . And if  $\nu_{\Pi}(D^n) > n$ , we have always  $n+1$  orthogonal geodesic chords. But for the solid ellipsoid whose lengths of axes are all different, we have exactly  $n$  orthogonal geodesic chords if the Riemannian metric considered is the standard one.

One can easily obtain  $\nu_H(D^n) = 2$ , hence in this case we have (ii).

This corresponds to the fact that

$$\text{cup length } S^{n-1} = 1$$

but

$$\text{cup length } \mathbf{R}P^{n-1} = n-1,$$

showing the importance of  $\mathbf{Z}_2$ -action, in particular,  $\mathbf{Z}_2$ -equivariant cohomology under an involution.

- (iii) *Study the structure of  $H_{\Pi}^*(Y_M)$ .*

For contractible  $M$ , this is isomorphic to  $H_{\Pi}^*(\partial M \times \partial M)$ , which is completely determined by the Isomorphism Theorem by Steenrod [N]. For general  $M$ , it seems to be difficult to determine the equivariant cohomology, but this is indispensable in order to count  $\nu_{\Pi}(M)$ .

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