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Vector Fields on an Open Riemann Surface**

by

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# Projectively Invariant Cocycles of Holomorphic Vector Fields on an Open Riemann Surface

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## Abstract

Let  $\Sigma$  be an open Riemann surface and  $\text{Hol}(\Sigma)$  be the Lie algebra of holomorphic vector fields on  $\Sigma$ . We fix a projective structure (i.e. a local  $\text{SL}_2(\mathbb{C})$ -structure) on  $\Sigma$ . We calculate the first group of cohomology of  $\text{Hol}(\Sigma)$  with coefficients in the space of linear holomorphic operators acting on tensor densities, vanishing on the Lie algebra  $\text{sl}_2(\mathbb{C})$ . The result is independent on the choice of the projective structure. We give explicit formulæ of 1-cocycles generating this cohomology group.

## 1 Introduction

The first group of cohomology of the Lie algebra of (formal) vector fields on the circle  $S^1$  with coefficients in the space  $\text{Hom}(\mathcal{F}_\lambda, \mathcal{F}_\mu)$ , where  $\mathcal{F}_\lambda$  is the space of tensor densities of degree  $\lambda$  on  $S^1$ , was first calculated in [6]. This group of cohomology measures all extensions of exact sequences  $0 \rightarrow \mathcal{F}_\mu \rightarrow \cdot \rightarrow \mathcal{F}_\lambda \rightarrow 0$  of modules. The first group of cohomology of the Lie algebra  $\text{Vect}(S^1)$  of (smooth) vectors fields on the circle with coefficients in the space of linear differential operators acting from  $\lambda$ -densities to  $\mu$ -densities, vanishing on the subalgebra  $\text{sl}_2(\mathbb{R}) \subset \text{Vect}(S^1)$ , was calculated in [2]. This group of cohomology appears as an obstruction to the equivariant quantization (see [2], [8]). The computation is based on the following observation: any 1-cocycle vanishing on the subalgebra  $\text{sl}_2(\mathbb{R})$  is an  $\text{sl}_2(\mathbb{R})$ -invariant operator. The  $\text{sl}_2(\mathbb{R})$ -invariant differential operators acting on tensor densities, which are called “Transvectants”, were classified by Gordan (see [7, 11]). To find the 1-cocycles generating the group of cohomology means, therefore, to determine which from the Transvectants are 1-cocycles.

In this paper, we study the complex analog of the above group of cohomology on an open Riemann surface  $\Sigma$  endowed with a flat projective structure.

The aim of this paper is to describe the first group of cohomology

$$H^1(\text{Hol}(\Sigma), \text{sl}_2(\mathbb{C}); \mathcal{D}_{\lambda, \mu}), \quad (1.1)$$

of holomorphic vector fields on  $\Sigma$  with coefficients in the space of linear differential operators acting on tensor densities, vanishing on the Lie algebra  $\mathfrak{sl}_2(\mathbb{C})$ . We give explicit formulæ of 1-cocycles generating the group (1.1). These 1-cocycles are the complex analog of the 1-cocycles given in [2].

The main tool of this paper is the existence of affine and projective connection on any open Riemann surface (see [10, 13]). These notions has been recently used in [16] to compute the second group of cohomology of the Lie algebra  $\text{Hol}(\Sigma)$  with coefficients in the space of  $\lambda$ -densities.

## 2 Affine and Projective Structure

Let  $\Sigma$  be a Riemann surface, and let  $\{U_\alpha, z_\alpha\}$  be an atlas of  $\Sigma$ .

A holomorphic affine connection is a family of holomorphic functions  $\Gamma(z_\alpha)$  on  $U_\alpha$  such that for non-empty  $U_\alpha \cap U_\beta$ , we have

$$\Gamma(z_\beta) \frac{dz_\beta}{dz_\alpha} = \Gamma(z_\alpha) + \frac{d^2 z_\beta}{dz_\alpha^2} \frac{dz_\alpha}{dz_\beta}.$$

Affine connection exists in any open Riemann surface in contrast with the compact case where affine connection exists only if the genus of  $\Sigma$  is one (see [10]).

A holomorphic projective connection is a family of holomorphic functions  $R(z_\alpha)$  on  $U_\alpha$  such that for non-empty  $U_\alpha \cap U_\beta$ , we have

$$R(z_\beta) \left( \frac{dz_\beta}{dz_\alpha} \right)^2 = R(z_\alpha) + S(z_\beta, z_\alpha),$$

where  $S(z_\beta, z_\alpha) = \left( \frac{d^2 z_\beta}{dz_\alpha^2} \right)' - \frac{1}{2} \left( \frac{d^2 z_\beta}{dz_\alpha^2} \right)^2$  is the Schwarzian derivative.

Recall that any holomorphic affine connection  $\Gamma$  defines naturally a holomorphic projective connection given in a local coordinates  $z$  by

$$R(z) = \frac{d\Gamma(z)}{dz} - \frac{1}{2}\Gamma^2(z). \quad (2.1)$$

For any projective connection  $R$  there exists locally an affine connection  $\Gamma$  satisfying (2.1).

We say that two affine connections are projectively equivalent if they define the same projective connection.

Let us define the notion of projective structure.

A Riemann surface admits a projective structure if there exists an atlas of charts  $\{U_\alpha, z_\alpha\}$  such that the coordinate change  $z_\alpha \circ z_\beta^{-1}$  are projective transformations.

In this case, the Lie algebra  $\mathfrak{sl}_2(\mathbb{C})$ , in each chart of the projective structure, is generated by the following vector fields

$$\frac{d}{dz}, z \frac{d}{dz}, z^2 \frac{d}{dz}. \quad (2.2)$$

There exists a 1-1 correspondence between projective structures and projective connections on an open Riemann surface (cf. [10]). We use implicitly this correspondence along this paper.

### 3 Hol( $\Sigma$ )-module Structures on the Space of Differential Operators

The modules of linear differential operators on the space of tensor densities on a (real) smooth manifold has been studied in series of recent papers (see [1, 2, 3, 4, 8, 9, 14, 15]). Note that this space viewed as a module over the Lie algebra of vector fields has already been studied in the classical monograph [17].

Let us give the definition of the natural two-parameter family of modules over the Lie algebra of holomorphic vector fields on the space of linear differential operators.

#### 3.1 Tensor Densities

Let  $\Sigma$  be an open Riemann surface. Fix an affine connection  $\Gamma$  on it.

Space of tensor densities on  $\Sigma$ , noted  $\mathcal{F}_\lambda$ , is the space of sections of the line bundle  $(T^*\Sigma)^{\otimes \lambda}$ , where  $\lambda \in \mathbb{C}$ . This bundle is of course trivial, since any (holomorphic) bundle on an open Riemann surface is holomorphically trivial.

Fix a global section  $dz^\lambda$  on  $\mathcal{F}_\lambda$ . Any  $\lambda$ -density can be written in the form  $\phi dz^\lambda$ . Let us recall the definition of a covariant derivative of tensor densities. Let  $\nabla$  be the covariant derivative associated to the affine connection  $\Gamma$ . If  $\phi \in \mathcal{F}_\lambda$ , then  $\nabla \phi \in \Omega^1(\Sigma) \otimes \mathcal{F}_\lambda$  given by the formula

$$\nabla \phi = \frac{d\phi}{dz} - \lambda \Gamma \phi.$$

The standard action of  $\text{Hol}(\Sigma)$  on  $\mathcal{F}_\lambda$  reads as follows (cf. [16]):

$$L_X^\lambda(\phi) = X \nabla \phi + \lambda \phi \nabla X, \quad (3.1)$$

where  $X = X(z) \frac{d}{dz} \in \text{Hol}(\Sigma)$ .

#### 3.2 Hol( $\Sigma$ )-Module of Differential Operators

Consider differential operators acting on tensor densities:

$$A : \mathcal{F}_\lambda \rightarrow \mathcal{F}_\mu. \quad (3.2)$$

In local coordinates  $z$ , any operator  $A$  can be written in the form

$$A = a_k(z) \frac{d^k}{dz^k} + \cdots + a_0(z),$$

where  $a_i$ , for  $i = 1, k$ , are holomorphic functions on  $z$ .

A two-parameter family of actions of  $\text{Hol}(\Sigma)$  on the space of differential operators is defined by

$$L_X^{\lambda, \mu}(A) = L_X^\lambda \circ A - A \circ L_X^\mu. \quad (3.3)$$

Denote by  $\mathcal{D}_{\lambda, \mu}(\Sigma)$  the space of operators (3.2) endowed with the defined  $\text{Hol}(\Sigma)$ -module structure (3.3).

## 4 Main Result

Assume  $\Sigma$  endowed with a projective structure, this defines locally an action of the Lie group  $SL_2(\mathbb{C})$  on  $\Sigma$ .

Consider cochains on  $\text{Hol}(\Sigma)$  with values in  $\mathcal{D}_{\lambda,\mu}$ , vanishing on the Lie algebra  $\mathfrak{sl}_2(\mathbb{C})$ . One, therefore, obtains the so-called relative cohomology of the Lie algebra  $\text{Hol}(\Sigma)$ , namely

$$H^1(\text{Hol}(\Sigma), \mathfrak{sl}_2(\mathbb{C}); \mathcal{D}_{\lambda,\mu}(\Sigma)),$$

(see [5]).

The purpose of this paper is the following:

**Theorem 4.1** *The first group of cohomology  $H^1(\text{Hol}(\Sigma), \mathfrak{sl}_2(\mathbb{C}); \mathcal{D}_{\lambda,\mu}(\Sigma))$  is one-dimensional in the following cases:*

- (a)  $\mu - \lambda = 2, \lambda \neq -1/2,$
- (b)  $\mu - \lambda = 3, \lambda \neq -1,$
- (c)  $\mu - \lambda = 4, \lambda \neq -1/2,$
- (d)  $(\lambda, \mu) = (-4, 1), (0, 5).$

*Otherwise, this cohomology group is trivial.*

This Theorem generalizes the result of [2] in the case of the circle  $S^1$ .

Note that this result does not depend on the choice of the projective structure.

## 5 Construction of the 1-cocycles

In this section, we give explicit formula for the 1-cocycles generating the nontrivial cohomology classes from Theorem 4.1. Given a projective structure on  $\Sigma$ , we prove that there is a canonical choice of the 1-cocycles vanishing on  $\mathfrak{sl}_2(\mathbb{C})$ .

Fix (locally) an affine connection  $\Gamma$  related to the projective structure. Denote by  $R$  the projective connection associated to  $\Gamma$  (see section 2.)

**Lemma 5.1** *The following linear differential operators*

$$\begin{aligned} \mathcal{I}_3 &= R, \\ \mathcal{I}_4 &= R \nabla - \frac{\lambda}{2} \nabla R, \\ \mathcal{I}_5 &= R \nabla^2 - \frac{2\lambda + 1}{2} \nabla R \nabla + \frac{\lambda(2\lambda + 1)}{10} \nabla^2 R + \frac{\lambda(\lambda + 3)}{5} R^2, \\ \mathcal{I}_6 &= R \nabla^3 - \frac{3}{2} \nabla R \nabla^2 + \left( \frac{3}{10} \nabla^2 R + \frac{4}{5} R^2 \right) \nabla, \\ \mathcal{I}'_6 &= R \nabla^3 + \frac{9}{2} \nabla R \nabla^2 + \left( \frac{63}{10} \nabla^2 R + \frac{4}{5} R^2 \right) \nabla + \frac{14}{5} \nabla^3 R + \frac{8}{5} R \nabla R, \end{aligned}$$

*are globally defined in  $\mathcal{D}_{\lambda,\lambda+2}(\Sigma)$ ,  $\mathcal{D}_{\lambda,\lambda+3}(\Sigma)$ ,  $\mathcal{D}_{\lambda,\lambda+4}(\Sigma)$ ,  $\mathcal{D}_{0,5}(\Sigma)$ ,  $\mathcal{D}_{-4,1}(\Sigma)$ , respectively, and depend only on the projective class of the connection  $\Gamma$ .*

**Proof.** Since the surface  $\Sigma$  is projectively flat, the connection  $R$  defines a 2-density on  $\Sigma$ . Then the operators of the above Lemma are globally defined. Let us prove that the operators depend only on the projective class of the connection  $\Gamma$ .

Let  $\mathcal{I}_4 = R\nabla + \alpha\nabla R$ . Denote by  $\tilde{\mathcal{I}}_4$  the operator  $\mathcal{I}_4$  written with respect to a connection  $\tilde{\Gamma}$  which is projectively equivalent to  $\Gamma$ . After an easy calculation one has

$$\mathcal{I}_4 = \tilde{\mathcal{I}}_4 + (2\alpha + \lambda)(\tilde{\Gamma} - \Gamma)R.$$

Then  $\mathcal{I}_4 = \tilde{\mathcal{I}}_4$  if and only if  $\alpha = -\lambda/2$ . The proof is analogous for the operators  $\mathcal{I}_5, \mathcal{I}_6$  and  $\mathcal{I}'_6$ .

**Theorem 5.2** (i) For every  $\lambda$ , there exist unique (up to constant) 1-cocycles

$$\begin{aligned}\mathcal{J}_3 &: \text{Hol}(\Sigma) \rightarrow \mathcal{D}_{\lambda, \lambda+2} \\ \mathcal{J}_4 &: \text{Hol}(\Sigma) \rightarrow \mathcal{D}_{\lambda, \lambda+3} \\ \mathcal{J}_5 &: \text{Hol}(\Sigma) \rightarrow \mathcal{D}_{\lambda, \lambda+4}\end{aligned}$$

vanishing on  $\mathfrak{sl}_2(\mathbb{C})$ . They are given by the formulae:

$$\begin{aligned}\mathcal{J}_3(X) &= \nabla^3 X - L_X^{\lambda, \lambda+2}(\mathcal{I}_3), \\ \mathcal{J}_4(X) &= \nabla^3 X \nabla - \frac{\lambda}{2} \nabla^4 X - L_X^{\lambda, \lambda+3}(\mathcal{I}_4), \\ \mathcal{J}_5(X) &= \nabla^3 X \nabla^2 - \frac{2\lambda+1}{2} \nabla^4 X \nabla + \frac{\lambda(2\lambda+1)}{10} \nabla^5 X - L_X^{\lambda, \lambda+4}(\mathcal{I}_5).\end{aligned}$$

For  $(\lambda, \mu) = (0, 5), (-4, 1)$ , respectively, the 1-cocycles vanishing on  $\mathfrak{sl}_2(\mathbb{C})$  are given by

$$\begin{aligned}\mathcal{J}_6^0(X) &= \nabla^3 X \nabla^3 - \frac{3}{2} \nabla^4 X \nabla^2 + \frac{3}{10} \nabla^5 X \nabla - L_X^{0,5}(\mathcal{I}_6), \\ \mathcal{J}_6^{-4}(X) &= \nabla^3 X \nabla^3 + \frac{9}{2} \nabla^4 X \nabla^2 + \frac{63}{10} \nabla^5 X \nabla + \frac{14}{5} \nabla^6 X - L_X^{-4,1}(\mathcal{I}'_6).\end{aligned}$$

(ii) The 1-cocycles  $\mathcal{J}_3, \mathcal{J}_4$  and  $\mathcal{J}_5$  are nontrivial for every  $\lambda$  except  $\lambda = -1/2, \lambda = -1$  and  $\lambda = -3/2$ , respectively. The 1-cocycles  $\mathcal{J}_6^0$  and  $\mathcal{J}_6^{-4}$  are nontrivial.

(iii) These 1-cocycles are independent on the choice of the projective structure.

## 6 $\mathfrak{sl}_2(\mathbb{R})$ -invariant operators on $S^1$

For almost all  $\lambda$  and  $\mu$ , there exists unique (up to constant)  $\mathfrak{sl}_2(\mathbb{R})$ -invariant bilinear differential operators  $J_m^{\lambda, \mu} : \mathcal{F}_\lambda \otimes \mathcal{F}_\mu \rightarrow \mathcal{F}_{\lambda+\mu+m}$  given by

$$J_m^{\lambda, \mu}(\phi, \psi) = \sum_{i+j=m} (-1)^i m! \binom{2\lambda+m-1}{i} \binom{2\mu+m-1}{j} \phi^{(i)} \psi^{(j)}, \quad (6.1)$$

called ‘‘Transvectants’’ (see [9, 11]).

Let us recall the results of [2, 9].

The first group of cohomology  $H^1(\text{Vect}(S^1), \mathfrak{sl}_2(\mathbb{R}); \mathcal{D}_{\lambda, \mu}(S^1))$  is one-dimensional in the following cases:

- (a)  $\mu - \lambda = 2, \lambda \neq -1/2$ ,
- (b)  $\mu - \lambda = 3, \lambda \neq -1$ ,
- (c)  $\mu - \lambda = 4, \lambda \neq -1/2$ ,
- (d)  $(\lambda, \mu) = (-4, 1), (0, 5)$ .

Otherwise, this cohomology group is trivial (see [2]).

the relation (7.2) implies that the 1-cocycle  $J_3$  is trivial which is absurd (see section 6). For  $\lambda = -1/2$ , take  $A = -2\nabla^2 \in \mathcal{D}_{-\frac{1}{2}, \frac{3}{2}}(\Sigma)$ . One can easily check that  $\mathcal{J}_3(X) = L_X^{-1/2, 3/2}(A)$ .

With the same arguments we prove the non-triviality of the 1-cocycles  $\mathcal{J}_k$ , for  $k = 4, 5, 6$ . Theorem 5.2 (ii) is proven.

## 7.2 Proof of Theorem 4.1

Let us prove that the dimension of the group of cohomology  $H^1(\text{Hol}(\Sigma), \text{sl}_2(\mathbb{C}); \mathcal{D}_{\lambda, \mu}(\Sigma))$  is bounded by the dimension of the group of cohomology  $H^1(\text{Vect}(S^1), \text{sl}_2(\mathbb{R}); \mathcal{D}_{\lambda, \mu}(S^1))$ . Let  $C$  and  $C'$  be two 1-cocycles in  $H^1(\text{Hol}(\Sigma), \text{sl}_2(\mathbb{C}); \mathcal{D}_{\lambda, \mu}(\Sigma))$ . We will prove that  $C$  and  $C'$  are cohomologous. Denote  $\tilde{C}$  and  $\tilde{C}'$  the restriction of  $C$  and  $C'$  on a neighborhood of a point of  $\Sigma$ . The operators  $\tilde{C}$  and  $\tilde{C}'$  define 1-cocycle in  $H^1(\text{Vect}(S^1), \text{sl}_2(\mathbb{R}); \mathcal{D}_{\lambda, \mu}(S^1))$ . These 1-cocycles are equal (up to constant); since the unique  $\text{sl}_2(\mathbb{R})$ -invariant linear differential operators are given as in (6.2). It follows that the 1-cocycle  $C$  and  $C'$  are cohomologous. Now from the construction of the 1-cocycles given in Theorem (5.2) follows Theorem (4.1).

## 8 Final Remark

The group of cohomology  $H^1(\text{Diff}(S^1), \text{PSL}_2(\mathbb{R}); \mathcal{D}_{\lambda, \mu})$  is one-dimension, for generic  $\lambda$ , generated by the following 1-cocycles

$$\begin{aligned} S_\lambda(f) &= S(f), \\ \mathcal{T}_\lambda(f) &= S(f) \frac{d}{dx} - \frac{\lambda}{2} S(f)', \\ \mathcal{U}_\lambda(f) &= S(f) \frac{d^2}{dx^2} - \frac{2\lambda+1}{2} S(f)' \frac{d}{dx} + \frac{\lambda(2\lambda+1)}{10} S(f)'' - \frac{\lambda(\lambda+3)}{5} S(f)^2, \\ \mathcal{V}_0(f) &= S(f) \frac{d^3}{dx^3} - \frac{3}{2} S(f)' \frac{d^2}{dx^2} + \left( \frac{3}{10} S(f)'' + \frac{4}{5} S(f)^2 \right) \frac{d}{dx} \\ \mathcal{V}_{-4} &= S(f) \frac{d^3}{dx^3} + \frac{9}{2} S(f)' \frac{d^2}{dx^2} + \left( \frac{63}{10} S(f)'' + \frac{4}{5} S(f)^2 \right) \frac{d}{dx} + \frac{14}{5} S(f)''' + \frac{8}{5} S(f) S(f)', \end{aligned} \tag{8.1}$$

where  $S(f)$  is the Schwarzian derivative (see [2]). It is a remarkable fact to see that the 1-cocycles (8.1) on the group  $\text{Diff}(S^1)$  have the same expression (up to change of sign) than the operators of the Lemma 5.1 if one replaces the connection  $R$  by  $-S(f)$ .

Since the group of biholomorphic maps on a Riemann surface is finite dimension (see [12]), this group does not integrate the Lie algebra of holomorphic vector fields. In some sense, the cohomology group (1.1) contains informations coming from the cohomology of the diffeomorphisms of  $S^1$  and the cohomology of the Lie algebra of vector fields on  $S^1$ .

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