

# A RTF Approach to Unitary Friedberg-Jacquet Periods.

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# Motivations.

# Automorphic Periods.

- $F$  number field,  $G$  reductive group over  $F$ ,  $[G] = G(F) \backslash G(\mathbb{A}_F)$ .
- $H$  subgroup of  $G$ .
- $\pi$  cuspidal automorphic representation of  $G$ .
- Automorphic periods:

$$\mathcal{P}_H(\phi) := \int_{[H]} \phi(h) dh.$$

- $\pi$  is called  $H$ -distinguished if there exists  $\phi \in \pi$  such that  $\mathcal{P}_H(\phi) \neq 0$ . This condition is often related to values of  $L$ -functions or functorial image from other groups.

- Waldspurger formula:  $K$  quadratic extension of  $F$ . Take  $(G, H) = (\mathrm{GL}_{2,F}, K^\times)$ ,  $\pi$  cuspidal automorphic on  $G$ ,  $\phi \in \pi$ :

$$|\mathcal{P}_H(\phi)|^2 \sim L(\mathrm{BC}(\pi), \frac{1}{2}).$$

- GGP:  $K/F$  as above. Take  $(G, H) = (\mathrm{U}(n) \times \mathrm{U}(n+1), \mathrm{U}(n))$ ,  $\pi = \pi_n \times \pi_{n+1}$  cuspidal automorphic rep on  $G$ ,  $\phi \in \pi$ :

$$|\mathcal{P}_H(\phi)|^2 \sim L(\mathrm{BC}(\pi), \frac{1}{2}) := L(\mathrm{BC}(\pi_n) \times \mathrm{BC}(\pi_{n+1}), \frac{1}{2}).$$

# Automorphic Periods.

- In this talk, we consider:

$$(G, H) = (\mathrm{U}(2n), \mathrm{U}(n) \times \mathrm{U}(n)).$$

## Conjecture (Zhang-X.)

Let  $\pi$  be tempered cuspidal on  $G$  and let  $\Pi$  be base change of  $\pi$  to  $\mathrm{GL}_{2n, F}$ . The following are equivalent:

- $\pi$  is  $H$ -distinguished.
- $\mathrm{Hom}_{H(\mathbb{A}_{F_0})}(\pi, \mathbb{C}) \neq 0$ ,  $L(\Pi, \wedge^2, s)$  has a pole at  $s = 1$  and  $L(\Pi, \frac{1}{2}) \neq 0$ .

Furthermore there should be relations:

$$|\mathcal{P}_H(\phi)|^2 \sim L(\Pi, \frac{1}{2}).$$

Today, I will discuss a Relative Trace Formula (RTF) approach to this conjecture.

# Special Cycles on Shimura Varieties.

Special cycles on Shimura varieties have arithmetic meaning.

- Gross-Zagier formula:  $K/\mathbb{Q}$  imaginary quadratic, Heegner points  $x_K \in X_0(N)$ ,  $\pi$  cuspidal automorphic rep of  $\mathrm{GL}_{2,\mathbb{Q}}$  of weight 2, then

$$\langle x_{K,\pi}, x_{K,\pi} \rangle_{\mathrm{NT}} \sim L'(\mathrm{BC}(\pi), \frac{1}{2}).$$

- Arithmetic GGP Conjecture:  $K$  as above, consider  $H = \mathrm{U}(n)$ ,  $G = \mathrm{U}(n) \times \mathrm{U}(n+1)$  and  $\Delta : H \rightarrow G$ . Over  $\mathbb{R}$  we assume they are  $\mathrm{U}(1, n-1) \rightarrow \mathrm{U}(1, n-1) \times \mathrm{U}(1, n)$ . Then  $\mathrm{Sh}_H \rightarrow \mathrm{Sh}_G$  and  $2 \dim(\mathrm{Sh}_H) + 1 = \dim(\mathrm{Sh}_G)$ . One conjectures for  $\pi = \pi_n \times \pi_{n+1}$  cuspidal automorphic rep of  $G$  that appearing in the cohomology  $H^*(\mathrm{Sh}_G)$ :

$$\langle \mathrm{Sh}_{H,\pi}, \mathrm{Sh}_{H,\pi} \rangle_{\mathrm{BB}} \sim L'(\mathrm{BC}(\pi_n) \times \mathrm{BC}(\pi_{n+1}), \frac{1}{2}).$$

# Special Cycles on Shimura Varieties.

- Let  $K$  as above and consider  $(G, H) = (U(2n), U(n) \times U(n))$ . Over  $\mathbb{R}$  we assume that they are giving by

$$U(1, n-1) \times U(0, n) \rightarrow U(1, 2n-1).$$

We have  $2 \dim(\mathrm{Sh}_H) + 1 = \dim(\mathrm{Sh}_G)$ . For  $\pi$  cuspidal automorphic rep of  $G$  that appearing in the cohomology  $H^*(\mathrm{Sh}_G)$ , we expect:

“Conjecture” (Zhang-X.)

$$\langle \mathrm{Sh}_{H,\pi}, \mathrm{Sh}_{H,\pi} \rangle_{\mathrm{BB}} \sim L'(\mathrm{BC}(\pi), \frac{1}{2}).$$

Besides  $(U(2n), U(n) \times U(n))$ , we can also consider  $(G, H)$  that are some inner twists.

- $F/F_0$  quadratic,  $B$  simple central algebra over  $F$  with an involution  $*$  :  $B \rightarrow B$  whose restriction on  $F$  is non-trivial. Let

$$G = \{b \in B^\times \mid bb^* = 1\}.$$

- $E_0/F_0$  quadratic with an embedding  $E_0 \rightarrow B$  such that  $*$  fixes  $E_0$ .  
Let

$$H = \{b \in (B^{E_0})^\times \mid bb^* = 1\},$$

where  $B^{E_0}$  is the centralizer of  $E_0$  in  $B$ .

We can formulate similar conjectures on periods and heights for any  $(G, H)$  as above. For the height, one requires  $F/F_0$  to be CM and  $E_0$  to be totally real.

# Special Cycles on Shimura Varieties.

- Locally, at almost all the places  $(G_v, H_v)$  is given by one of the following

$$(\mathrm{GL}_{2n}, \mathrm{GL}_n \times \mathrm{GL}_n), (\mathrm{GL}_{2n}, \mathrm{GL}_{n,M}),$$
$$(\mathrm{U}_{2n}, \mathrm{U}_n \times \mathrm{U}_n), (\mathrm{U}_{2n}, \mathrm{GL}_{n,M}).$$

$M =$  Unramified quadratic extension. The situation is a hybrid of Fridberg-Jacquet, unitary Fridberg-Jacquet, Jacquet-Guo.

- The value  $L'(\mathrm{BC}(\pi), \frac{1}{2})$  has been studied extensively in Kulda's program using arithmetic Theta correspondence. The differences are we allow Shimura varieties that are inner form of  $\mathrm{U}(2n)$  and for each Shimura variety, by allowing bi-quadratic, we obtain some new cycles on it.

# A Relative Trace Formula Approach.

# FJ Periods.

Let  $(G, H) = (U(2n), U(n) \times U(n))$ . To study  $(G, H)$  periods, it is often useful to look at its base change, which is isomorphic to  $(GL_{2n}, GL_n \times GL_n)$ , these periods are studied by Friedberg-Jacquet.

## Theorem (Friedberg-Jacquet, 1993)

*Let  $\pi$  be an cuspidal automorphic rep of  $GL_{2n}$  with trivial central character. Then the following are equivalent.*

- *There exists  $\phi \in \pi$  such that  $\mathcal{P}_{GL_n \times GL_n}(\phi) \neq 0$ .*
- *$L(\pi, \wedge^2, s)$  has a pole at 1 and  $L(\pi, \frac{1}{2}) \neq 0$ .*

The above theorem is motivated by the Bump-Friedberg integral:

- Let  $\Phi \in C_c^\infty(\mathbb{A}^n)$ , define Eisenstein series on  $\mathrm{GL}_n$

$$E(h, \Phi, s, \eta) = |h|^s \eta(h) \int_{[F^\times]} \sum_{v \in F^n - \{0\}} \Phi(avh) |a|^{ns} \eta(a)^n da.$$

This is basically the theta series for  $\mathrm{GL}_n$  acting on  $F^n$ .

- Then Bump-Friedberg integral. Take  $\phi \in \pi$

$$\int_{[\mathrm{GL}_n \times \mathrm{GL}_n]} \phi \begin{pmatrix} h_1 & \\ & h_2 \end{pmatrix} |h_1 h_2^{-1}|^{s'} E(h_2, \Phi, s, \eta) dh_1 dh_2$$

equals  $L(\pi, \wedge^2 \otimes \eta, s + 1) L(\pi, s' + \frac{1}{2}) \times \text{Local terms}$ .

The FJ periods is related to  $\eta \equiv 1$ , taking  $\mathrm{Res}_{s=0}$  and  $s' = 0$ .

Let  $(G, H) = (\mathrm{U}(2n), \mathrm{U}(n) \times \mathrm{U}(n))$ . Let  $f \in C_c^\infty(G(\mathbb{A}))$ , and define the kernel function

$$k_f(g, h) = \sum_{x \in G(F)} f(g^{-1}xh).$$

For any  $\phi \in L^2([G])$ , we have

$$(\pi(f)\phi)(g) := \int_{G(\mathbb{A})} f(h)\phi(gh)dh = \int_{[G]} k_f(g, h)\phi(h)dh.$$

This shows

$$k_f(g, h) \approx \sum_{\pi} \sum_{\phi \in \mathrm{OB}(\pi)} (\pi(f)\phi)(g)\overline{\phi(h)}.$$

The RTF for  $(G, H)$  is obtained by consider the following integral:

$$J(f) = \int_{[H]} \int_{[H]} k_f(h_1, h_2) dh_1 dh_2.$$

It admits two expansions

$$\sum_{\pi} J_{\pi}(f) = J(f) = \sum_{\gamma \in H \backslash G(F_0) / H} J_{\gamma}(f),$$

with

$$J_{\pi}(f) = \sum_{\phi \in \text{OB}(\pi)} \mathcal{P}_H(\pi(f)\phi) \overline{\mathcal{P}_H(\phi)},$$

$$J_{\gamma}(f) = \text{integral of } f \text{ on } H(\mathbb{A})\gamma H(\mathbb{A}).$$

## RTF: Linear Side.

Let  $(G', H') = (\mathrm{GL}_{2n, F}, \mathrm{GL}_{n, F} \times \mathrm{GL}_{n, F})$ . Let  $f' \in C_c^\infty(G'(\mathbb{A}))$ , and define the kernel function

$$k_{f'}(g, h) = \sum_{x \in G'(F)} f'(g^{-1}xh).$$

Let  $\Phi \in C_c^\infty(\mathbb{A}^n)$  and recall

$$E(g, \Phi, s, \eta) := |g|^s \eta(g) \int_{[F^\times]} \sum_{v \in F^n - \{0\}} \Phi(avg) |a|^{ns} \eta^n(a) da.$$

Consider the following

$$I(f', \Phi, s) = \int_{[H' \times H']} k_{f'}(h_1, h_2) E(h_1^{(2)}, \Phi, s, \eta) |h_1 h_2|^s \eta(h_2) dh_1 dh_2.$$

Here  $\eta =$  the quadratic character associate to  $K/F$ .

$I(f', \Phi, s)$  admits two expansions:

- $I(f', \Phi, s) = \sum I_{\pi'}(f', \Phi, s)$ , where

$$I_{\pi'}(f', \Phi, s) \sim L(\Pi, s + \frac{1}{2})L(\pi', \wedge^2 \otimes \eta, s + 1) \operatorname{Res}_{s=0} L(\pi', \wedge^2, s + 1).$$

Here  $\Pi = \operatorname{BC}_K(\pi')$ . This uses Bump-Friedberg.

- In general, if a group  $G$  acts on a variety  $X$ ,  $\phi \in C_c^\infty(X(\mathbb{A}))$ , define theta series  $\theta_\phi(g) = \sum_{x \in X(F)} \phi(g^{-1}x)$ , then  $\int_{[G]} \theta_\phi(g) dg$  can be expanded as orbital integrals of  $\phi$ .
- $I(f', \Phi, s)$  corresponds to  $H' \times H'$  acting on  $G' \times F^n$ , where only the second factor of the first  $H'$  acts on  $F^n$ . Therefore

$$I(f', \Phi, s) = \sum_{\gamma} I_{\gamma}(f', \Phi, s).$$

- To consider periods, set  $s = 0$ . To consider derivative, set  $\frac{d}{ds}|_{s=0}$ .

# Comparison.

We compare  $I$  and  $J$ :

$$\sum_{\pi} J_{\pi}(f) = J(f) = \sum_{\delta} J_{\delta}(f),$$

$$\sum_{\pi'} I_{\pi'}(f', \Phi) = I(f', \Phi) = \sum_{\gamma} I_{\gamma}(f', \Phi).$$

The approach to establish the conjecture will be the following:

- Identify  $\delta \rightarrow \gamma$  and prove given  $f$ , there exists  $(f', \Phi)$  such that  $I_{\gamma}(f', \Phi) = J_{\delta}(f)$ .
- Show the matching above satisfies the fundamental lemma.

These will imply  $J(f) = I(f', \Phi)$  for many choices of test functions. Then separate the desired relation  $J_{\pi}(f) = I_{\pi'}(f', \Phi)$ .

## Two variants.

- There is a variant for the twisted  $(G,H)$  where we change the GL side in the following way:

$$I(f', \Phi, s) = \int_{[H']} \int_{[H']} k_f(h_1, h_2) |h_1 h_2|^s E(h_1^{(2)}, \Phi, s, \eta_F) \eta_{F'}(h_2) dh_1 dh_2$$

- There is an arithmetic version for the derivative. We consider on the GL side  $I'(f', \Phi, 0)$  and on the unitary side  $\langle \pi(f) \text{Sh}_H, \text{Sh}_H \rangle$ .

## Local Results.

## Theorem (Zhang-X.)

*Fundamental lemma holds for the comparisons above.*

This includes the case  $(U(2n), U(n) \times U(n))$ , its twisted version and the arithmetic version. The twisted case involves a similar argument and for the arithmetic version, the additional input is the local Kulkarni-Rapoport conjecture proved by Li-Zhang. I will focus on the case  $(U(2n), U(n) \times U(n))$  afterwards.

## Backgrounds: Hironaka's transform.

- Let  $\mathcal{H}_K$  and  $\mathcal{H}_F$  be the spherical Hecke algebra of  $\mathrm{GL}_{n,K}$  and  $\mathrm{GL}_{n,F}$ ,  $\mathcal{H}_F$  is a free  $\mathcal{H}_K$ -module via base change.
- Let  $H_n$  be the space of  $n \times n$  non-degenerate Hermitian matrices. Then  $\mathrm{GL}_{n,K}$  acts on  $H_n$  and the space of spherical functions  $C_c^\infty(H_n)^{\mathrm{GL}_n(\mathcal{O}_K)}$  is also an  $\mathcal{H}_K$ -module.

### Theorem (Y. Hironaka)

*There is a canonical isomorphism*

$$\mathrm{Hir} : C_c^\infty(H_n)^{\mathrm{GL}_n(\mathcal{O}_K)} \rightarrow \mathcal{H}_F$$

*compatible with the  $\mathcal{H}_K$ -module structure.*

## A Local Identity.

- Let  $f' \otimes \Phi \in C_c^\infty(\mathrm{GL}_n(F) \times F^n)$ ,  $(\gamma, v) \in \mathrm{GL}_n(F) \times F^n$ , define

$$\mathrm{Orb}(f' \otimes \Phi, (\gamma, v)) = \int_{\mathrm{GL}_n(F)} f'(g\gamma g^{-1})\Phi(gv)\eta(g)dg.$$

- Let  $f \in C_c^\infty(\mathbb{H}_n)$ ,  $\delta \in \mathbb{H}_n$ , define

$$\mathrm{Orb}^{st}(f, \delta) = \sum_{\delta' \sim \delta} \int_{\mathrm{U}_n/T_{\delta'}} f(g.\delta')dg.$$

### Theorem (Zhang-X.)

For any  $f \in C_c^\infty(\mathbb{H}_n)^K$  and matching orbits  $\delta \sim (\gamma, v)$ . We have

$$\mathrm{Orb}^{st}(f, \delta) = \Delta(\delta, (\gamma, v)) \mathrm{Orb}(\mathrm{Hir}(f) \otimes 1_{\mathcal{O}_F^n}, (\gamma, v)).$$

We will show our fundamental lemma reduces to this.

## Theorem (Zhang-X.)

*Fundamental lemma holds for the comparisons above.*

This roughly means over any local places, we have  $J_\delta(f'_0) = I_\gamma(f_0, \Phi_0)$  for certain particular choices of  $f'_0$ ,  $f_0$  and  $\Phi_0$ .

Reduction:

- Identify  $\delta \rightarrow \gamma$  for regular semisimple orbits.
- $\gamma$  are orbits for  $(G', H') = (\mathrm{GL}_{2n}, \mathrm{GL}_n \times \mathrm{GL}_n)$ ,  $H' \times H'$  acting on  $G' \times F^n$ . This reduces to the action of  $\mathrm{GL}_n$  on  $\mathrm{GL}_n \times F^n$ .
- $\delta$  are orbits for  $(G, H) = (\mathrm{U}(2n), \mathrm{U}(n) \times \mathrm{U}(n))$ ,  $H \times H$  acts on  $G$ . This reduces to the action of  $\mathrm{U}(n)$  on  $\mathbb{H}_n$ .

# The proof.

## Theorem (Zhang-X.)

For any  $f \in C_c^\infty(\mathbb{H}_n)^K$  and matching orbits  $\delta \sim (\gamma, v)$ . We have

$$\text{Orb}^{st}(f, \delta) = \Delta(\delta, (\gamma, v)) \text{Orb}(\text{Hir}(f) \otimes 1_{\mathcal{O}_F^n}, (\gamma, v)).$$

- We first prove this when  $f$  is the unit function:  $f = \mathbb{H}_n(\mathcal{O}_F)$ . This is deduced from my thesis.
- Global method. We globalize the situation and consider the comparison of the following RTFs:
  - $(\text{GL}_{n,K}, \text{U}_n, \text{U}_n)$ .
  - $(\text{GL}_{n,F} \times \text{GL}_{n,F}, \Delta(\text{GL}_{n,F}), \Delta(\text{GL}_{n,F}))$  with a weight factor  $E(g, \Phi, \eta, s)$  on one of the  $\Delta(\text{GL}_{n,F})$ .

The spectral sides are well understood (Feigon-Lapid-Offen, Jacquet-Ye). This gives identities on the spectral side. We use that to deduce identities on the geometric side.

Thank you!